RAO

Conflict of Interest & Foreign Influence

Biosketch and Current & Pending Support

National Defense Authorization Act for Fiscal Year 2021 is driving changes in federal agency requirements to more fully disclose potential conflicts of interest

NIH, NSF, NASA, etc. are changing their forms and requirements for Biosketch and Current & Pending Support.

Involves disclosure of all resources made available in support of research regardless of whether:

- The support is foreign or domestic
- The support is through an institution or directly to the individual
- The resource has monetary value

RAO

NSF Pre-award and Post-award Disclosures^{1/2/3} Relating to the Biographical Sketch and Current and Pending Support

Table Key

- * = for new support only
- If undisclosed at the time of proposal submission

| Type of Activity | Biographical Sketch | Current & Pending Support | Facilities, Equipment & Other Resources | Project Reports | Post-Award Information Term & Condition | Disclosure Not Required |
|--|---------------------|------------------------------|---|-----------------|---|----------------------------|
| Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year | ~ | | | | | |
| Academic, professional ⁴ or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary | ~ | | | | | |
| All projects (including this project) currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or directly to the individual | | ~ | | ✓* | ~◆ | |
| In-kind contributions that support the research activity for use on the project/proposal being proposed | | | ~ | | | |

| Type of Activity | Biographical Sketch | Current & Pending Support | Facilities, Equipment & Other Resources | Project Reports | Post-Award Information Term & Condition | Disclosure Not Required |
|--|---------------------|------------------------------|---|-----------------|---|----------------------------|
| In-kind contributions not intended for use on the project/proposal being proposed to NSF and have an associated time commitment | | ~ | | ✓* | ~◆ | |
| Consulting that is permitted by an individual's appointment and consistent with the proposing organization's "Outside Activities" policies and procedures | | | | | | ~ |
| Consulting that falls outside of an individual's appointment | | \checkmark | | ✓* | ∕ ♦ | |
| Travel supported/paid by an external entity to attend a conference or workshop | | | | | | ~ |
| Travel supported/paid by an external entity to perform research activities with an associated time commitment | | ~ | | ✓* | ∽ • | |
| Honoraria | | | | | | \checkmark |
| Teaching commitments | | | | | | ~ |
| Startup company based on organization-licensed Intellectual Property (IP) | | | | | | ~ |
| Startup company based on non- organization-licensed IP | | \checkmark | | ✓* | ∕• | |
| Unrestricted Gifts | | | | | | \checkmark |
| Visiting scholars in labs | | | | | | \checkmark |
| Mentoring as part of appointment | | | | | | ✓ |
| Graduate and postdoctoral researchers time on project | | | | | | ~ |
| Academic Year Salary | | | | | | ✓ |

RAO